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Atty. Dkt. No. 041673-2053

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Tuszynski, Mark H.

Title:

METHODS FOR THERAPEUTIC

USE OF BRAIN DERIVED

NEUROTROPHIC FACTOR IN THE

ENTORHINAL CORTEX

Appl. No.:

10/039,078

Filing Date:

12/31/2001

Examiner:

Anne Marie Falk

Art Unit:

1632



SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.56

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Submitted herewith on Form PTO/SB/08 is a listing of documents known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR §1.56.

A copy of each non-U.S. patent document and each non-patent document is being submitted to comply with the provisions of 37 CFR §1.97 and §1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to

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antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

TIMING OF THE DISCLOSURE

The listed documents are being submitted in compliance with 37 CFR §1.97(c).

RELEVANCE OF EACH DOCUMENT

All of the documents are in English.

Applicants respectfully request that each listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

FEE

A fee in connection with submission of a supplemental information disclosure statement under 37 CFR §1.97(c) in the amount of \$180.00 in accordance with 37 CFR §1.17(p) can be charged to Deposit Account No. 50-0872.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 CFR §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 50-0872. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 50-0872.

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Respectfully submitted,

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Stacy L. Taylor

Attorney for Applicant Registration No. 34,842

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MODIFIED PTO/SB/08 (08-00) Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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|-------|----------------------|--------|----------|------------------------|-------------------|--|--|
| | INFORMATION DI | SCLO | SURE | Application Number | 10/039,078 | | |
| | STATEMENT BY | APPLIC | CANT | Filing Date | 12/31/2001 | | |
| | Data Cubaditadi Nasi | | 20 2005 | First Named Inventor | Tuszynski | | |
| · | Date Submitted: Nove | emper. | 29, 2000 | Group Art Unit | 1632 | | |
| | (use as many sheets | as nec | cessary) | Examiner Name | Anne Marie Falk | | |
| Sheet | 1 | of | 2 | Attorney Docket Number | 041673-2053 | | |

| | . U.S. PATENT DOCUMENTS | | | | | | | |
|-----------------------|-------------------------|----------------------|---|--|------------------------------|--|--|--|
| | | U.S. Patent Document | | | Date of Publication of | Pages, Columns, Lines, Where Relevant | | |
| Examiner Initials* | Cite No.1 | Number | Kind Cade ² (if known) | Name of Patentee or Applicant of Cited Document | Cited Document MM-DD-YYYY | Passages or Relevant Figures Appear | | |
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| | FOREIGN PATENT DOCUMENTS | | | | | | | | | | |
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| Examiner Initials* | Cile No.1 | For Office ³ | eign Patent C Number ⁴ | Occument Kind Code° (If known) | Name of Patentee or Applicant of Cited Documents | Date of Publication of Cited Document MM-DD-YYYY | Pages, Columns, Linea, Where Retevant Passages or Retevant Figures Appear | Τ° | | | |
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| Examiner Signature | Date Considered | |

*EXAMINER: Initial if reference considered, whether or not cliation is in conformance with MPEP 609. Draw line through cliation if not in conformance and not considered, include copy of this form with next communication to applicant.

¹ Unique chatton designation number. ²See attached Kinds of U.S. Patent Documents. ⁹Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the senial number of the patent document by the appropriate symbols as indicated on the document under WIPO Standard ST. 18 if possible. ⁹Applicant is to place a check mark here if English language Translation is attached.

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| | Substitute for | form 1449B | PTO | | Complete if Known | | |
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| | INFORMATIO | N DISCLO | SURE | Application Number | 10/039,078 | | |
| | STATEMENT | BY APPLI | CANT | Filing Date | 12/31/2001 | | |
| | Date Submitted: | November | 20 2005 | First Named Inventor | Tuszynski | | |
| | Date Submitted. | IAOAEIIIDEI | 23, 2000 | Group Art Unit | 1632 | | |
| | (use as many sh | ieets as ne | cessary) | Examiner Name | Anne Marie Falk | | |
| Sheet | 2 | of | 2 | Attorney Docket Number | 041673-2053 | | |

| | NON PATENT LITERATURE DOCUMENTS | | | | | | | | |
|-----------------------|---------------------------------|--|----------------|--|--|--|--|--|--|
| Examiner Initials* | Cite No.1 | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published. | Τ ⁶ | | | | | | |
| | | Tuszynski et al., <i>Nature Medicine</i> 11(5):551-555 (May, 2005) | | | | | | | |
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¹ Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ¹Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ¹For Japanese patent documents, the indication of the year of the relgn of the Emperor must precede the serial number of the patent document. ¹Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁴Applicant is to place a check mark here if English language Translation is attached.